

Minutes of the meeting of the Audit and Compliance Committee of the Board of Directors of the Cook County Health and Hospitals System held Thursday, November 15, 2018 at the hour of 9:00 A.M. at 1950 W. Polk Street, in Conference Room 5301, Chicago, Illinois.

I. Attendance/Call to Order

Acting Chair Hammock called the meeting to order.

Present: Acting Chair M. Hill Hammock (Substitute Member) and Directors Ada Mary Gugenheim and Layla P. Suleiman Gonzalez, PhD, JD (3)

Absent: Chair Hon. Jerry Butler and Director Robert G. Reiter, Jr. (2)

Additional attendees and/or presenters were:

Cathy Bodnar – Chief Corporate Compliance and Privacy Officer

Debra Carey – Deputy Chief Executive Officer, Operations

Douglas Elwell – Deputy Chief Executive Officer, Finance and Strategy

Donna Hart – Chief Information Officer

Jeff McCutchan –General Counsel

Deborah Santana – Secretary to the Board

Tom Schroeder – Director of Internal Audit

Dean Sorensen – Information Security Officer

II. Public Speakers

Acting Chair Hammock asked the Secretary to call upon the registered public speakers.

The Secretary responded that there were none present.

III. Report from Chief Corporate Compliance and Privacy Officer (Attachment #1)

Cathy Bodnar, Chief Corporate Compliance and Privacy Officer, provided an overview of the information contained in the Report. Dean Sorensen, Information Security Officer, reviewed the information regarding security intelligence and controls. The Committee reviewed and discussed the information.

The report included information on the following subjects:

- Review Privacy and Security Rules
- Recognize the National Landscape
- Discuss Current Internal Activity
- View Cook County Health Measures for Compliance – Proactive and Reactive

During the review of the information regarding security intelligence and controls, the Committee discussed the subject of securing the health information exchange between CCHHS providers and providers from outside organizations who share data on a patient. Mr. Sorensen stated that those exchanges can be secure, but the organizations have to be on the same page on how to do it. Donna Hart, Chief Information Officer, provided additional information regarding MHN Connect, which is a mechanism that allows this organization to receive and share information in a secure fashion on patients with other organizations who are part of that network.

IV. Action Items

A. Minutes of the Audit and Compliance Committee Meeting, September 20, 2018

Director Suleiman Gonzalez, seconded by Director Gugenheim, moved to accept the minutes of the Audit and Compliance Committee Meeting of September 20, 2018.
THE MOTION CARRIED UNANIMOUSLY.

B. Any items listed under Sections IV and V

V. Closed Meeting Items

A. Report from Director of Internal Audit

B. Discussion of Personnel Matters

Director Gugenheim, seconded by Director Suleiman Gonzalez, moved to recess the open meeting and convene into a closed meeting, pursuant to the following exceptions to the Illinois Open Meetings Act: 5 ILCS 120/2(c)(1), regarding “the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity,” and 5 ILCS 120/2(c)(29), regarding “meetings between internal or external auditors and governmental audit committees, finance committees, and their equivalents, when the discussion involves internal control weaknesses, identification of potential fraud risk areas, known or suspected frauds, and fraud interviews conducted in accordance with generally accepted auditing standards of the United States of America.” THE MOTION CARRIED UNANIMOUSLY

Acting Chair Hammock declared that the closed meeting was adjourned. The Committee reconvened into the open meeting.

VI. Adjourn

As the agenda was exhausted, Acting Chair Hammock declared the meeting ADJOURNED.

Respectfully submitted,

Audit and Compliance Committee of the Board of Directors of the
Cook County Health and Hospitals System

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M. Hill Hammock, Acting Chair

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Attest:

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Deborah Santana, Secretary

Requests/Follow-up:

There were no requests for follow-up at this meeting.